



Public Donation and Family Banking: Cord Blood Options

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Recorded: February 9, 2017 1

Learning Objectives

- State the rationale in counseling patients about cord blood options
- Differentiate between transplant medicine and regenerative medicine as it relates to umbilical cord blood
- Discover resources available for you, your organization, and ultimately your patients, about umbilical cord blood donation and storage



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Chair, Department of Obstetrics



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- Jerome Harris Distinguished Professor of Pediatrics & Pathology
- Chief Scientific Officer and Medical Director, Robertson Clinical & Translational Cell Therapy Program
- Director, Pediatric Blood & Marrow Program
- Director, Carolinas Cord Blood Bank at Duke



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Disclosures

The following planners and speakers have no financial disclosures.

Name	Role in activity
Joanie Hare, MD	Speaker
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Disclosures

The following planners and speakers have the following financial disclosures.

Name	Role	Disclosure
Joanne Kurtzberg, MD	Speaker	Gamida, Marcus, Robertson, Novartis

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Cord Blood Registry® through
the Newborn Possibilities Fund,
administered by Tides Foundation



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Counseling Patients is Key in Cord Blood Banking

Joanie Y. Hare, MD



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What to Ask?

- Has the obstetrician (OB) discussed cord blood options?
 - Patients seek credibility/endorsement from their OB
 - Nurse can reinforce discussion
 - Nurse can support mom's decision
 - Nurse can answer questions



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What is Cord Blood?

- Cord blood is the blood that remains in the umbilical cord and placenta and can be collected once the cord is clamped and cut
- Cord blood contains hematopoietic cells like those found in bone marrow



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Cord Blood Preservation Options

Public Donation

Added to Be The Match Registry® if requirements are met

No cost to donor

Used for any child or adult in need

Family Banking

Cord blood stored for family's personal use

Storage/processing fee

Cord Tissue banking

Sibling Directed

Available to families with a sibling (in some cases a parent) with medical need

Offered at little or no cost to eligible families

Stored for family's personal use

Do Nothing

Discarded as medical waste



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Public and Family Banking

Public Donation

- Cord blood unit (CBU) belongs to public cord blood bank
- Used for any child/adult in need
- No cost to donate
- HLA typed prior to registry listing
- Highest cell count CBUs are processed/stored

Family Banking

- CBU belongs to family
- Most directed allogeneic/autologous use in children
- Storage/processing fee
- HLA typed when needed
- Most CBUs stored for:
 - traditional use
 - future use in new areas
 - regenerative medicine



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What Information Should I Provide?

Public Donation

- Health assessment prior to donation
 - Cord blood bank initiates and complies with FDA regulation
- Singleton birth
- > 34 weeks gestation
- Defined accredited delivery location, unless a kit collection
 - Limited kit donations

Family Banking

- Health assessment with enrollment
- Singleton/multiple births
- Any delivery location
- Payment options available to make affordable for all families



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Sibling in Need



- CBUs can be saved for a sibling, and in some cases a parent, with medical need
- Sibling-directed donation offered at little/no cost to eligible families
- Parents need to have conversation with sibling's physician
- Parents need to contact participating public cord blood bank or family bank
- Another option
Newborn Possibilities Program
<http://www.cordblood.com/benefits-cord-blood/family-cord-bloods>



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Do Nothing

- In many areas, public cord blood donation is not available
- Parents may decide that neither public donation nor family banking is right for them
- If not collected, discarded as medical waste
- Provide support for family's decision



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Benefits of Cord Blood

Public Donation



- Only 30% of patients have family match
- CB does not need to match as closely as other stem cell sources
- Fewer post transplant immune complications
- Immediately available for patient in need

Family Banking



- Research has shown that a well-matched sibling is the best donor source of stem cells
- Growing scientific evidence supports future potential for regenerative medicine
- Clinical trials are studying cord blood stem cells for cellular therapy in disease conditions
- May be a more serious consideration for families with medical history, ethnic minorities, or mixed ethnicity

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Common Questions

- **Patients often ask about these topics:**
 - Cord blood does not contain embryonic stem cells
 - Cord blood is rich in blood-forming cells
 - Patients have options
 - Collection process is completely safe for mother and baby
 - Donation is not available everywhere
 - Not all public cord blood units are stored
 - If unit is placed on registry, no transplant information is shared (donor and recipient never meet)
 - Cord blood stored in family bank cannot be transferred to public bank at this time



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Delayed Cord Clamping

- Providers may prefer a short delay of 30-60 seconds
 - See ACOG Committee Opinion No. 624 for details
 - For public donation and family banking, do not interfere with standard-of-care for baby/mother

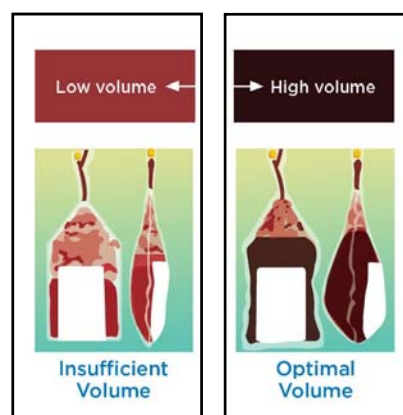


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Public Cord Blood Collection

- Optimal volume is critical for a successful transplant
- The darker the color, the higher the volume



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Cord Blood Collection

- Best practices include:
 - Clamp cord as close to baby as possible
 - No contamination
 - Use smallest sample necessary for hospital testing
 - Minimize manipulation of cord and placenta
 - Allow enough time for cord to blanch
 - Accurate, complete labeling



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Additional Resources

- American Academy of Pediatrics official policy statement, [Cord Blood Banking for Potential Future Transplantation](#)
- American College of Obstetricians and Gynecologists (ACOG) [Committee Opinion on Umbilical Cord Blood Banking](#), [Committee Opinion on Delayed Cord Blood Clamping](#) and [FAQs](#) about cord blood donation.
- American Medical Association [ethical guidelines for physicians](#) about umbilical cord blood
- American Society for Blood and Marrow Transplantation [position statement and committee report](#) on cord blood collection and preservation and a [guide for parents](#)
- [Health Resources and Services Administration \(HRSA\)](#), U.S. Department of Health and Human Services, Cord Blood Information



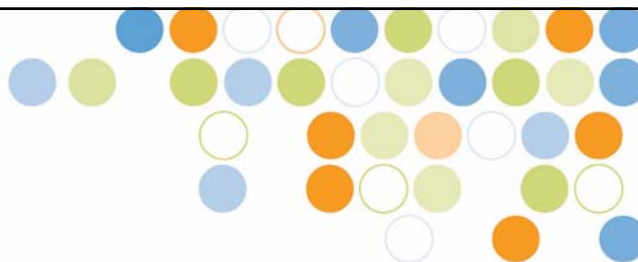
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Additional Resources

- Parents Guide to Cord Blood
 - www.parentsguidecordblood.org
- To learn more about:
 - Be The Match
 - www.BeTheMatch.org/cord
 - Cord Blood Registry:
 - www.cordblood.com
 - Cord Blood Registry:
 - “Cord blood banking legislation”
 - <http://www.cordblood.com/benefits-cord-blood/umbilical-cord-blood-banking/cord-blood-banking-legislation>



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Cord Blood Transplantation: Past, Present & Future

Joanne Kurtzberg, MD



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Joanne Kurtzberg, MD
Duke University Medical Center

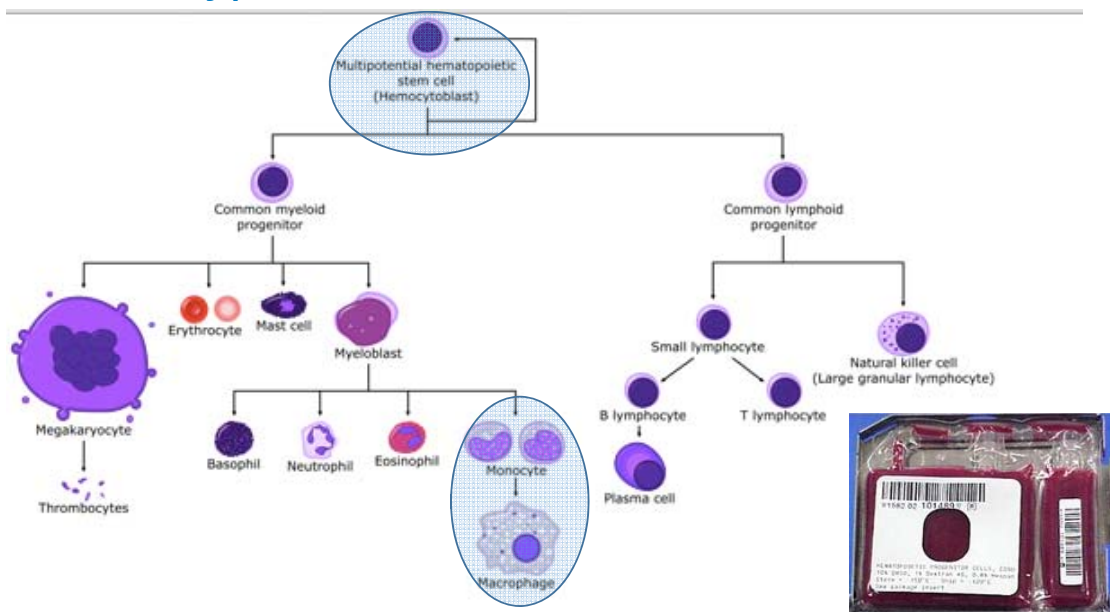


CORD BLOOD TRANSPLANTATION: PAST, PRESENT, AND THE FUTURE

Why Cord Blood?

- CB contains stem and progenitor cells of blood and other lineages.
- CB is immunologically tolerant and can be transplanted without full HLA matching, increasing access to transplantation for patients lacking matched adult donors.

Types of Cells in Cord Blood



- 1988 – 1st Cord Blood Transplant
- MRD for Fanconi Anemia
- A&W 27 years later



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Umbilical Cord Blood Transplant History

- 1st Transplant, France 1988 – Matched Related Donor (MRD)
- 1st unrelated donor cord blood bank(CBB), NYBC 1992
- 1st unrelated transplant, Duke 1993
- **Netcord:** Established 1997
- **NMDP center for cord blood established 1999**
- Now >35,000 transplants and >160 banks worldwide
- Public Inventory ~180K US, 700K worldwide
- Private Inventory ~4M worldwide
- **Legislation:** CW Bill Young Cell Transplantation Program
 - Coordinating centers, registry, outcomes database
 - NCBI banking network
- **Regulations:** Guidance for FDA Licensure 10/20/2011
- Now 7 licensed CBBs in the USA
- ~4-5,000 transplants annually around the world

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Cord Blood Banking

- **Public – Donation for public use**
 - No cost to donor
 - Regulated
 - High standards
- **Private – Saved at a \$\$ for the family**
 - Charge to the family
 - Non-Regulated
 - Variable standards

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Manufacturing and Administration of CBUs

- Collection
- Manufacturing
 - + / – RBC, volume reduction
 - Ancillary testings samples (mom and baby)
 - Storage in LN or vapor
- Unit Selection
- Thawing and administration
 - “To wash or not to wash”

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Banking Specifications

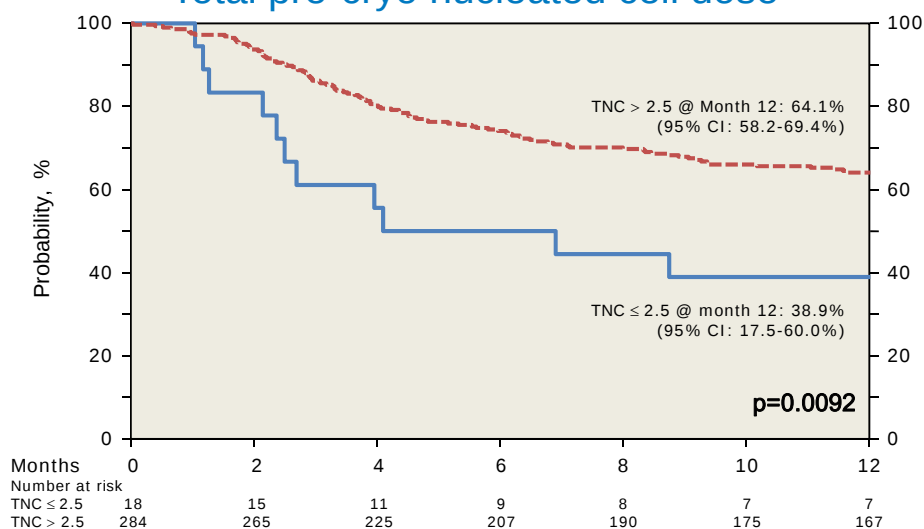
- Banking Specifications
 - >900M Total Nucleated Cell Count (TNCC)
 - >1.25M viable CD34 cells
 - CFU growth
 - Viability >90% (Trypan Blue)
 - Negative Sterility
 - Negative Donor Screening Tests and Questionnaires
 - Negative hemoglobinopathy screen

Key Observations about UCBT

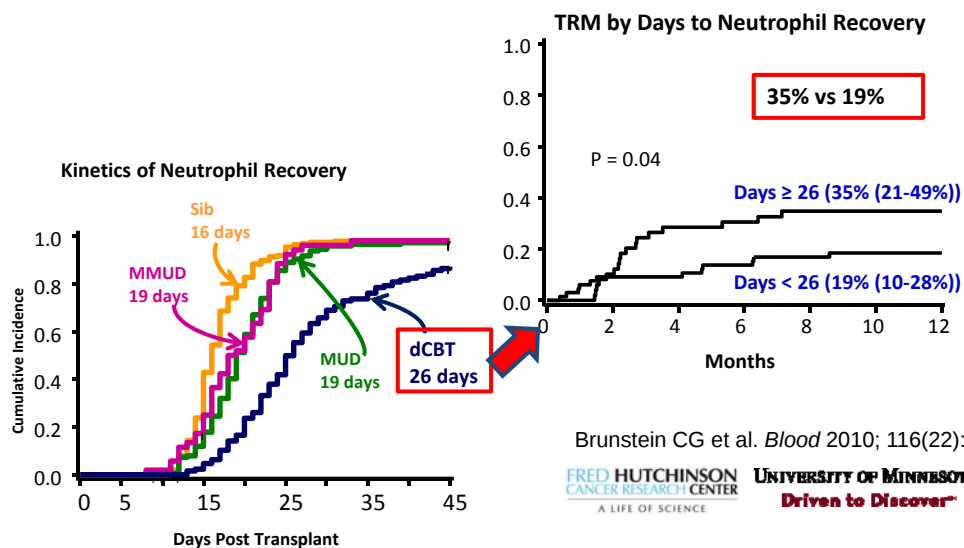
- Cord blood could substitute for bone marrow as a donor for Hematopoietic Stem Cell Transplant (HSCT) for all standard allogeneic indications
 - Hematological malignancies, marrow failure, immunodeficiencies, hemoglobinopathies, certain inherited metabolic diseases
- Cell dose matters and single cord blood unit may be on the cusp or too small for larger individuals
- HLA matching also matters, but lesser matches can be utilized when higher cell doses are administered
- Immune reconstitution is delayed
- Graft versus Host Disease (GvHD) is decreased as compared to adult HSCT sources
- Results are comparable to MRD and MUD
- Relapse may be lower post CBT versus other HSCT sources

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Overall Survival All Patients - Total pre-cryo nucleated cell dose -

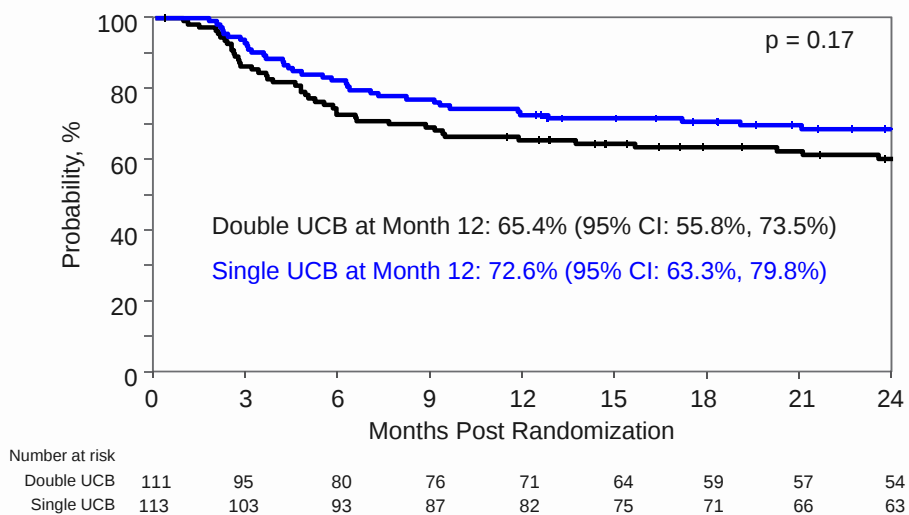
Kurtzberg J et al, *Biol Blood Marrow Transplant* 2013; 19:2

The Impact of Low Cell Dose in CBT



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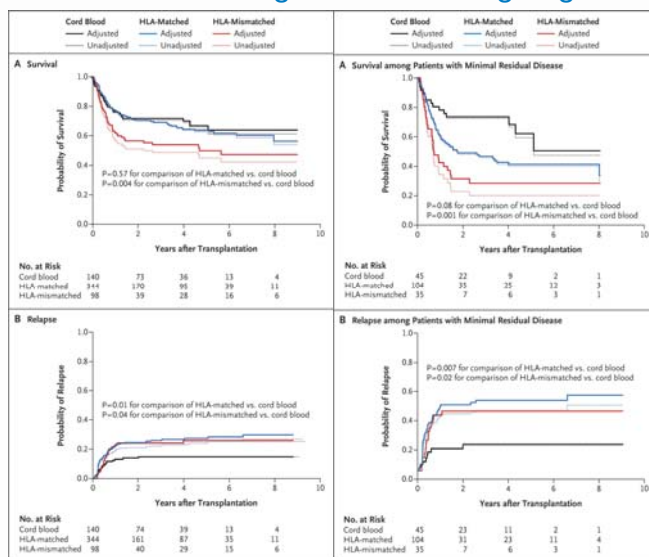
BMT-CTN 0501: DC vs SC in HM after MA therapy in Children: Overall Survival



Wagner JE, N Engl J Med 2014

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UCBT offers superior protection against relapse in adults with hematological malignancies undergoing UD HSCT



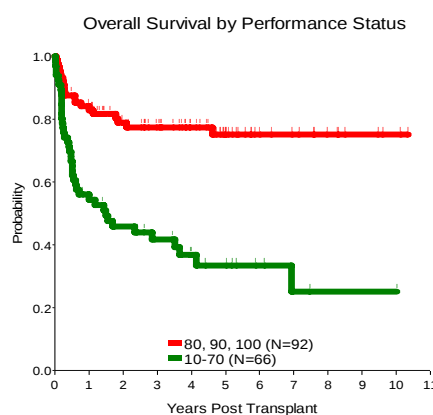
Comparison of UCB, MUD, mmMUD on DSF in 582 patients.

Milano F et al. N Engl J Med 2016;375:944-953.

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Patient Selection

- Malignancies
 - AML
 - ALL
 - MDS, secondary AML
- Non Malignancies
 - Metabolic
 - Extent of disease progression
 - Newborn screening
 - Immunodeficiency diseases
 - Hemoglobinopathies
 - Marrow Failure



Prasad VK et al., Blood. 2008;112(7):2979-89

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Non-malignant Diseases Transplanted

Adenosine Deaminase	Adrenoleukodystrophy	Alpha-mannosidosis
Autoimmune Encephalitis	Bare Lymphocyte Syndrome	Batten Disease
Chronic Granulomatous Disease	Combined Immune Deficiency Syndrome	Common Variable Immune Deficiency Disease
Chediak-Higashi Disease	Congenital Hypoplastic Anemia	Crohn's Disease
DOCK8 Deficiency	DiGeorge Syndrome	Diamond Blackfan Anemia
Dyskeratosis Congenita	Familial Erythrophagocytic Lymphohistiocytosis	Fanconi Anemia
GM1 Gangliosidosis	Glanzmann's Thrombasthenia	Hemophagocytic Lymphohistiocytosis
Hemolytic Anemia/PIEZO1	Hunter Syndrome	Hurler Scheie Syndrome
Hurler Syndrome	Hyper IGM Syndrome	Hypophosphatasia
I Cell Disease	IPEX	Kostman's Neutropenia
Krabbe Disease	Leukocyte Adhesion Deficiency	Lesch-Nyhan Syndrome
Lymphocyte Signaling Deficit	Maroteaux-Lamy Syndrome	Metachromatic Leukodystrophy
Nezelof's Syndrome	Niemann-Pick Disease Type B	Omenn's Disease
Osteopetrosis	Paroxysmal Nocturnal Hemoglobinuria	PNP Deficiency
Pelizaeus Merzbacher Disease	SCID	Sandhoff Disease
Sanfilippo Type A and B	Severe Aplastic Anemia	Shwachman-Diamond Syndrome
Sickle Cell Anemia	Sideroblastic Anemia	Tay Sachs Disease
Thalassemia Major	Wiskott-Aldrich Syndrome	ZAP70 Deficiency

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Selection of CBU Graft

- Total Nucleated Cell Count (TNCC)
 - $\geq 3 \times 10^7/\text{kg}$ for malignancies
 - $\geq 5 \times 10^7/\text{kg}$ for non-malignancies
 - Per BMT-CTN 0501, 1 CBU is optimal
- CD34 (bank dependent)
 - $\geq 2 \times 10^5/\text{kg}$
- HLA match
 - No more than 1 MM at each loci
 - A, B, DRB1 and C (if possible)
 - Match DRB1 over class I

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Prior to Start of Conditioning Therapy

- Complete workup
- Control infections
- Obtain informed consent
- Confirm donor and ship CBU to TC
- Place central line
- Consider G-tube (metabolic patients)
- Simulate for TBI (if indicated)

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Selection and Administration of CBU

- For Metabolic diagnoses
 - Screen for carrier state in donor
 - Test enzyme level
- Make sure a full match is not autologous CB
- Favor accredited banks
- Favor RBC depleted CBUs
- Bank should have a release assay (on a segment) for potency
- Thaw and wash CBU before administration

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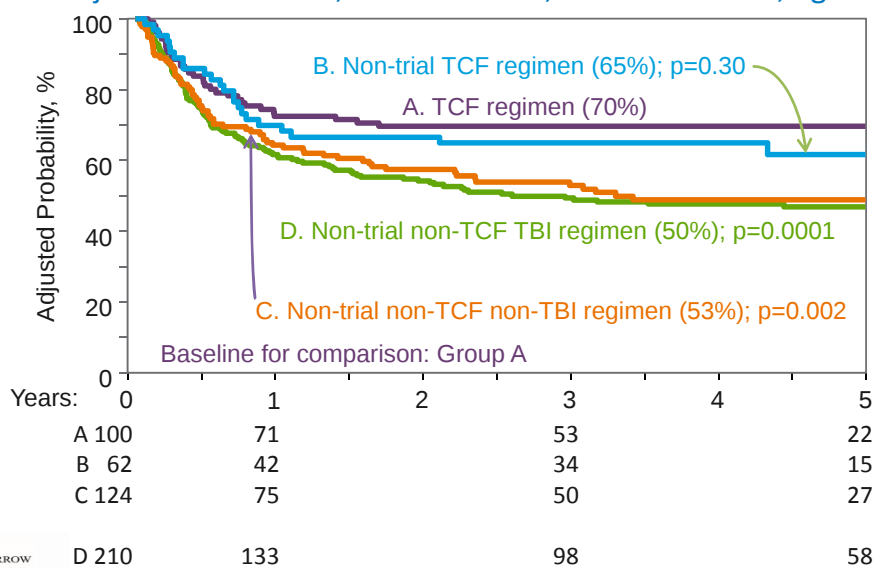
Conditioning

- Total body irradiation (TBI)
 - For Heme Malignancies
 - Flu/Cy/TBI
 - Some centers use Bu based regimens for AML
- Non-TBI
 - Bu/Cy or other Bu based regimen
 - Obtain busulfan PK
 - +/- ATG
- Use MAC not RIC

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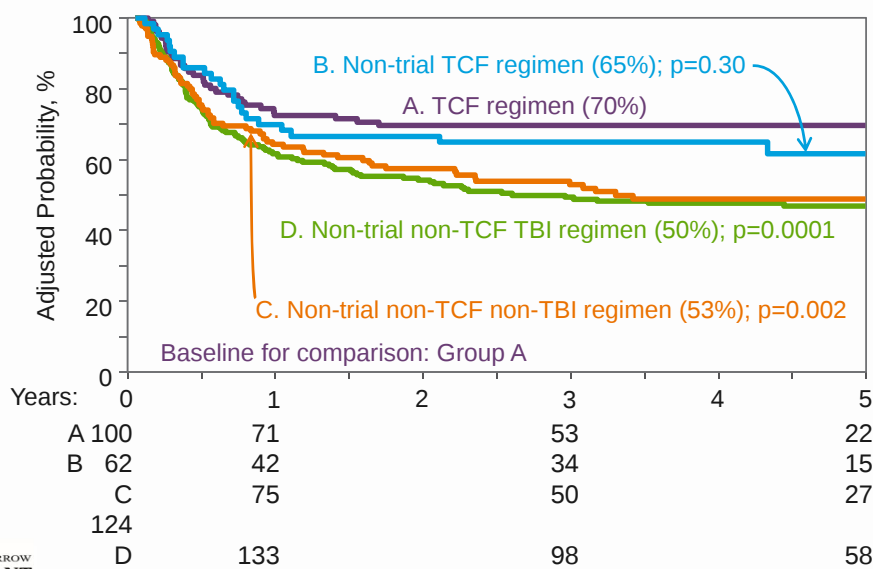
Overall Survival

Adjusted for disease, disease status, CMV serostatus, age



Overall Survival

Adjusted for disease, disease status, CMV serostatus, age

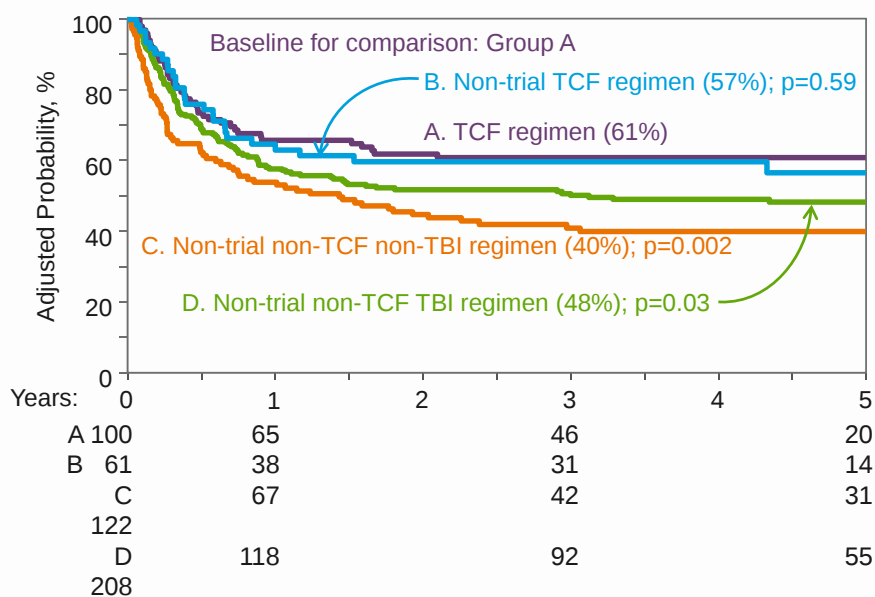


Eapen M et al., Blood 2016

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Leukemia-free Survival

Adjusted for disease status, CMV serostatus, age



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GvHD prophylaxis

- Cyclosporine (CYA)
- Tacrolimus (FK)
- Mycophenolic acid (MMF)
- Steroids
- Antithymocyte Globulin (ATG)
- CYA or FK/MMF
 - MMF to 45-60 days
 - CYA or FK to 9 months, then taper

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Supportive Care

- Nutrition support
 - TPN or enteral
- IVF
- IVIG
- G-CSF
- Anti virals, antifungals until CD4 >200/uL
- Monitoring (CMV, Adeno, HHV-6, EBV)
- Granulocytes
 - Parental, G-mobilized, irradiated

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Engraftment Syndrome and GvHD

- Engraftment Syndrome (fever, erythroderma)
 - Short steroid pulse
 - Load 2mg/kg, then 1mg/kg q12h x 3days, then taper over 5-10 days
- Acute GvHD
 - Steroids
 - Change from CYA to FK or reverse or MSCs if available
 - 2nd or 3rd line agents
- Chronic GvHD

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Long Term Follow-Up – Late effects

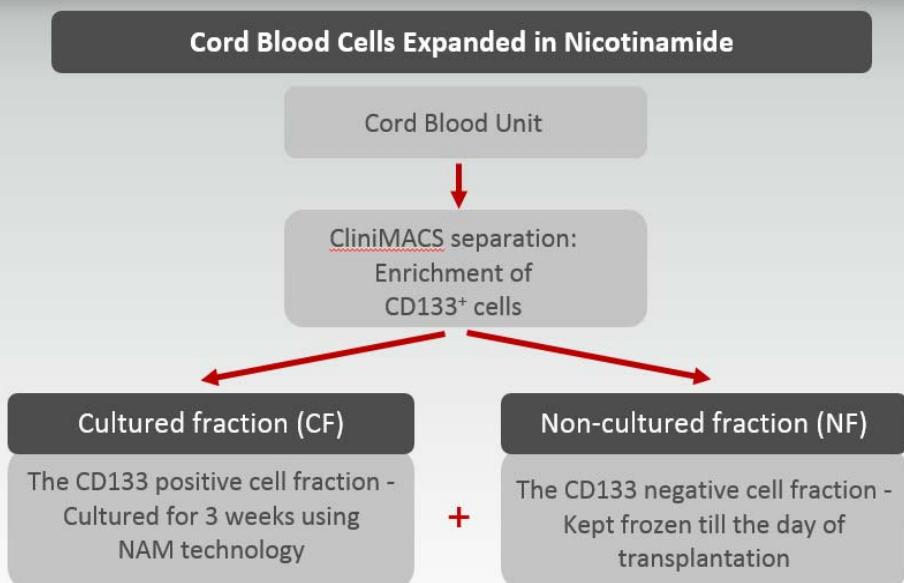
- Skeletal Growth
- Gonadal Failure
- Thyroid
 - Hypo/hyper/Ca
- Osteoporosis
- Cardiac function
- Pulmonary function
- Dentition
- Cognitive



Teeth of an 8 year old child who was conditioned with BuCyATG in the first month of life.

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Expanded Cord Blood Product for BMT

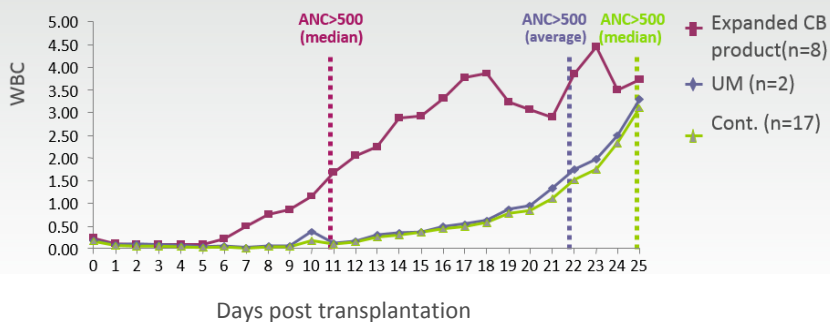


Expanded Cord Blood (CB) Product Rapid Engraftment Shortens Hospitalization

Avg. hospitalization days

- Patients engrafted with expanded CB product: 23.5 (Day 14 post transplant discharge)
- Patients engrafted with the UM CBU: 40 (Day 31 post transplant discharge)
- Duke control cohort (n=17) average 36 (Day 24 post transplant discharge)

Rapid PB WBC Reconstitution in Patients Engrafted with Expanded CB product



Horwitz M et al J Clin Invest. 2014;124(7):3121–3128.

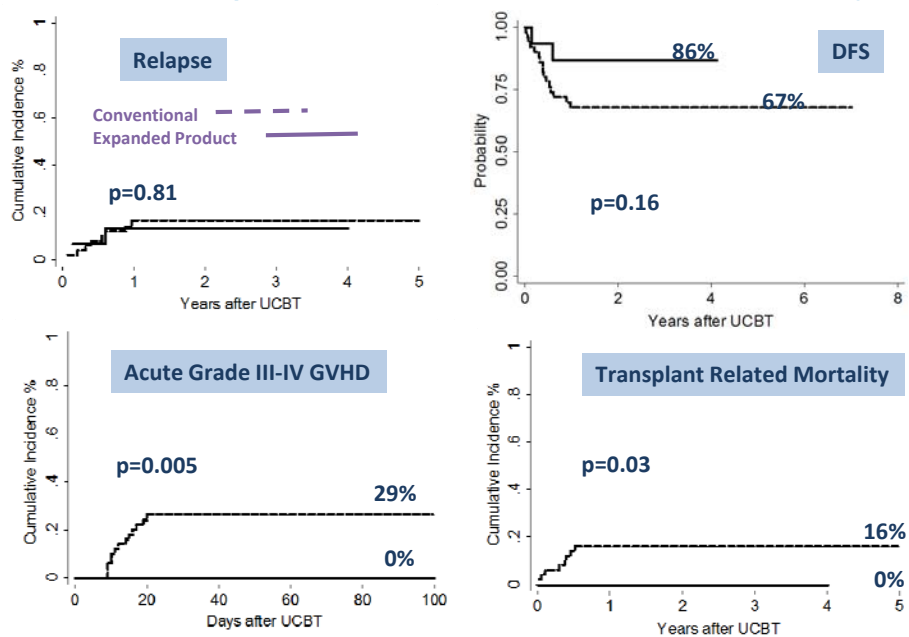
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The first SCD patient transplanted with Expanded CB Product



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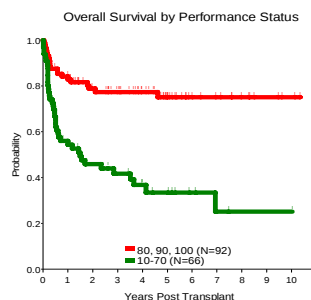
Support with off-the-shelf Notch-expanded UCBT improves outcomes – Colleen Delaney



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Diseases Treated (N=>350) Median follow-up 10.3 years

- Krabbe Disease
- Metachromatic Leukodystrophy
- Adrenoleukodystrophy
- Mucopolysaccharidoses
- Hurler, Hunter, Sanfilippo
- Neimann Pick Disease
- Maroteau Lamy
- PMD
- Batten Disease
- Others



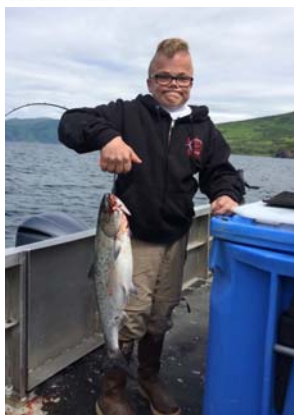
Prasad VK et al., Blood. 2008;112(7):2979-89

- Unrelated cord blood donor
- Myeloablative chemotherapy
- Average 56 day hospitalization
- 12-18 month recovery
- Risk of GvHD and TRM

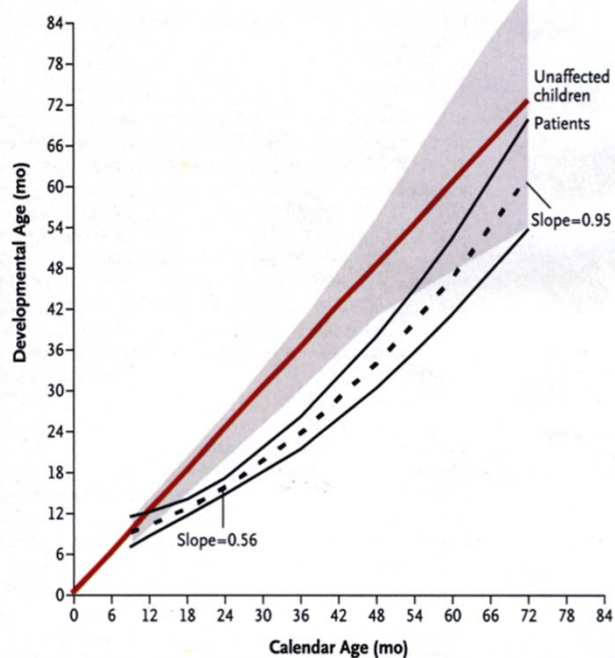
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Coarse Facial Features
Courtesy of E. Kakkis, M.D.



B Cognitive Development



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MA chemotherapy is required for engraftment



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Two Brothers Transplanted for Late Infantile Krabbe Disease

UCBT age 11 months

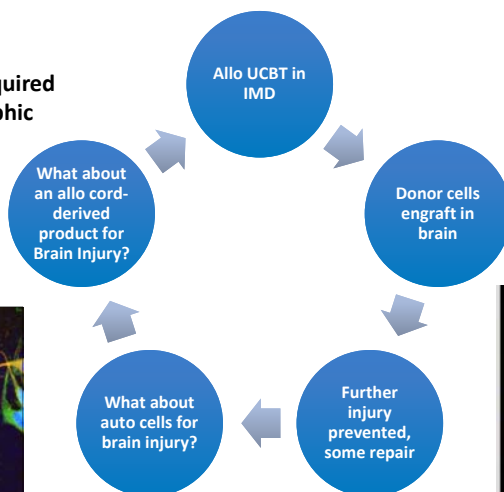
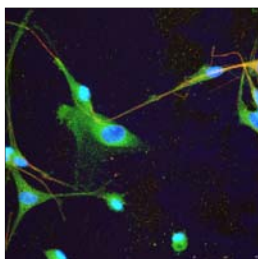


UCBT age 2.5 months

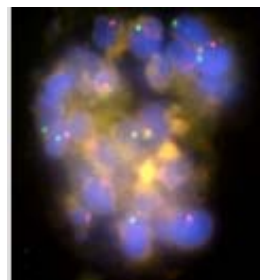
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Rationale

- Engraftment not required
- MOA paracrine/trophic



- Engraftment required, MA chemotherapy
- MOA enzyme replacement



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Autologous UCB Trials at Duke

- Safety
 - Cryopreserved UCB, 184 patients
 - Sun et al, Transfusion, 2010
- HIE Study “Babybac”
 - Fresh, VR, RR, UCB
 - Cotten et al, J Peds, 2014
- Congenital Hydrocephalus
 - Multiple doses of auto UCB
 - Sun et al, Pediatric Research, 2015
- HLHS/ECMO
 - Fresh and cryopreserved
- CP
 - Cryopreserved
- Autism
 - Cryopreserved
 - 25 patient safety/endpoint finding study in progress

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Allogeneic Cord Derived Therapies

Ongoing:

DUOC-01

Acute Stroke in Adults

Non HLA Matched

ABO/Rh matched

Race matched

3 sites

10 patients treated

Sibling CP

15 patients treated

Planned:

Best donor Autism Phase II

Allogeneic CP Phase II

Cord Tissue MSCs Autism Phase I

MSC versus CB Autism Phase II

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Feasibility of Autologous Cord Blood Cells for Infants with Hypoxic-Ischemic Encephalopathy

C. Michael Cotten, MD¹, Amy P. Murtha, MD², Ronald N. Goldberg, MD¹, Chad A. Grotegut, MD², P. Brian Smith, MD¹, Ricki F. Goldstein, MD¹, Kimberley A. Fisher, PhD¹, Kathryn E. Gustafson, PhD³, Barbara Waters-Pick, BS, MT(ASCP)⁴, Geeta K. Swamy, MD², Benjamin Rattray, MD¹, Siddhartha Tan, MD⁵, and Joanne Kurtzberg, MD⁶

J Pediatr 2014;164:973-9).

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Survival with 1 year Bayley III scores > 85 in 3 domains

	Cells N = 28 N (%)	Cooled only N = 66 N (%)	p
Survival with all 3 Bayley domain scores $\geq$ 85	18 (64)	25 (38)	0.04
Bayley < 85 at one year (among survivors)*	9 (35)	23 (48%)	0.33

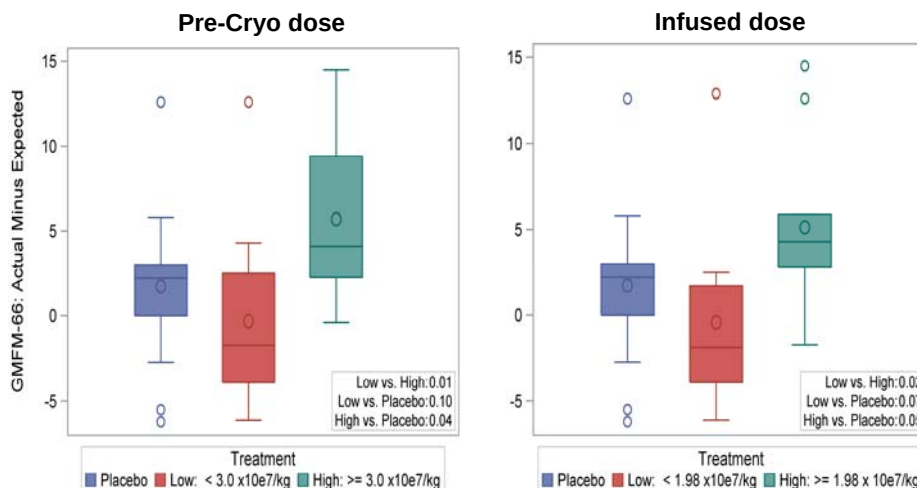
**Next: Multi-center Randomized, placebo controlled, Phase II study
120-160 babies
10 centers**

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Cotten CM et al., J Pediatr. 2014; 164(5):973-979

CP-AC: Observed – Expected Change

- ≥ 2 year olds (n=38)

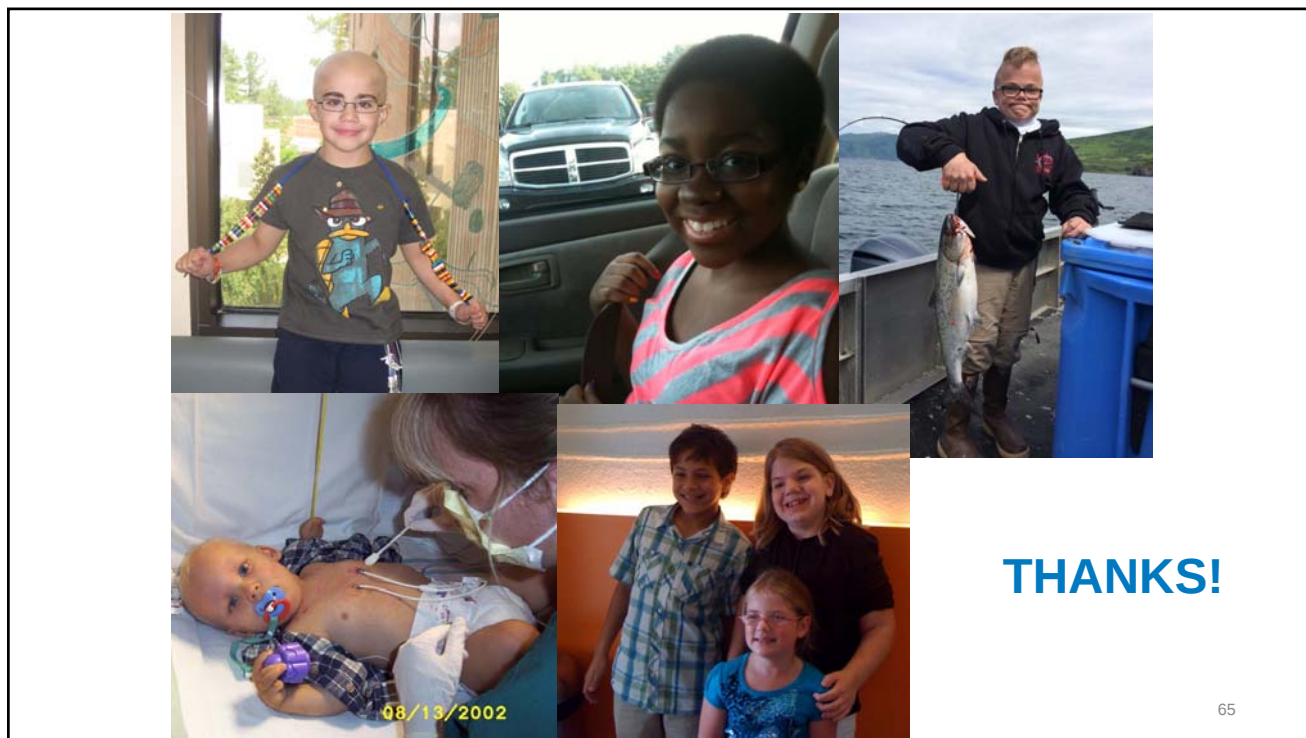


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In Summary

- The CB journey is 27 years young
- CB increases access to HSCT for patients lacking matched donors
- Cord Blood Preparedness:
 - Emerging cell engineering technology promised to reduce TRM and further improve outcomes
 - Expertise in UCBT will improve outcomes
- Emerging applications in cell expansion and regenerative medicine offer promising therapy for babies and children with unmet medical needs

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THANKS!

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